

Investment Managers

FINANCIAL INSTITUTIONS



Investment management firms operate across an increasingly complex regulatory and risk environment—navigating market volatility, heightened compliance scrutiny, and evolving cyber threats. Mosaic offers a single, integrated policy that protects both the management company and the fund it advises, combining management liability, professional liability, crime, employment practices liability, fiduciary liability and cyber coverage options, together with market-leading underwriting and claims service.

COVERAGES

Up to

\$20M per risk capacity



TARGET CLIENTS

- Hedge funds
- Registered funds
- Private equity/credit advisors
- Real estate fund advisors
- Registered funds
- Venture capital

Financial Institutions



Management Liability
Manager & Fund
Side A/B/C D&O



Professional Liability
Manager & Fund
Professional Services



Crime
Employee and
Third-Party Fraud

Cyber



First & Third-Party Cover
Breach, BI, Security & Privacy
Liability, Tech E&O

KEY FEATURES & ENHANCEMENTS

Additional Side a limit Dedicated excess limit for executives	CO dedicated limit Separate limit for compliance officer exposure	Manager-Fund cut-through Survives IMA exculpation clauses	Auto new fund coverage Up to 30% AUM; 90-day runway
Retired D&O lifetime discovery for departing execs	Loss establishment costs Accounts & specialists to quantify direct financial loss	Regulatory & investigative defense formal and informal investigations	Loss of documents Costs for to restore or replace documents lost or damaged during professional services
Crisis communications PR costs to avert or mitigate claims	Emergency expenses Claim expenses covered before insurer consent when delay isn't feasible	Pre-claim defense Costs look-back provision before formal claim	Cost of corrections Reimburse costs to fix errors before they become claims
PLUS: Mock audit premium credit up to \$10K or 10% premium reduction			

Claims

Proactive claims management by specialists is at the heart of every risk we cover. Fair handling of claims, and quick payment where agreed, is our promise. Our technically experienced in-house experts provide coverage analysis, strategic overview, and legal and data-driven insights, with continual feedback to our underwriting teams. Claims and underwriting teams work closely together to ensure we meet the needs of our clients. Mosaic's approach is one of ownership, transparency, and efficiency; achieving fair outcomes for our customers is the end goal. Mosaic has full authority on claims settlement decisions.



THOMAS DUGGAN

Head of Claims, Americas
New York, NY

+1 718 551 8253

thomas.duggan@mosaicinsurance.com



SAVANNA BOYLES

VP, Cyber Claims, Americas
New York, NY

+1 850 398 1533

savanna.boyles@mosaicinsurance.com

Underwriting



SACHIN WARTY

Global Head of Financial Institutions
& Professional Liability (FinPro)

+1 630 800 9045

sachin.warty@mosaicinsurance.com

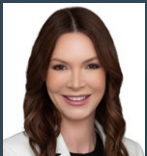


MELISSA PEREIRA

VP, Underwriter, Financial Institutions

+1 441 717 8228

melissa.pereira@mosaicinsurance.com



ANN NOZICA

Head of Americas, Financial Institutions

+1 608 780 9931

ann.nozica@mosaicinsurance.com



KATY HEATHERTON

AVP, Financial Institutions

+1 310 590 8444

katy.heatherton@mosaicinsurance.com



IAN FRASER

President & Chief Agent, Mosaic Canada

+1 905 304 3333

ian.fraser@mosaicinsurance.com



MICHAEL ROSSITER

AVP, Financial Institutions

+1 630 408 5311

michael.rossiter@mosaicinsurance.com



RISA KLEINER

SVP, Financial Institutions

+1 917 828 3523

risa.kleiner@mosaicinsurance.com

About Mosaic

Mosaic is a global specialty insurer with exceptional expertise, a focus on complex products, and an award-winning, digitized operating model. We underwrite for close to 40 trade clients alongside our own Lloyd's Syndicate 1609 and 2610—offering capacity and custom service across eight lines of business in seven countries.

Visit mosaicinsurance.com and follow us @Mosaic1609

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