

Financial Institutions (Americas)



Financial Institutions are facing increased levels of economic and political volatility in today's challenging global environment. Amid an evolving landscape of regulatory change and rising litigation, businesses must navigate their way through a difficult climate of uncertainty.

Mosaic's leading underwriters have decades of experience writing financial institutions coverage across a broad range of different sectors and products on a global scale. We offer clients solutions tailored to their business to ensure they are protected against risks specific to their industry, whether they are a global bank or a London-based boutique investment manager.

Our world-class service and innovative approach ensure we provide client-focused solutions to modern risk, through either niche new products or restructuring large, complex programs.

KEY FEATURES

\$20M
per risk



- Recognized market leaders with ability to offer flexible, tailored solutions
- Preference for leading primary and low excess layers
- A culture of high-level service to broker and client partners
- Strategy underpinned by effective use of capacity, with considered line sizes targeted across the portfolio

COVERAGES UNDERWRITTEN

- Fidelity Bonds
- Professional Liability
- Directors & Officers
- SIDE A (Difference in Conditions) DIC
commercial accounts accessed via Bermuda
- Fiduciary Liability
- Employment Practices Liability

TARGET INDUSTRIES



ASSET MANAGERS

- Investment managers, funds
- Hedge funds
- Investment advisors
- Property funds
- Fund of funds
- Real estate



BANKS

- Investment banks
- Global
- Super-regional banks (>\$200B)
- Upper-regionals (\$100B–\$200B)
- Mid-regionals (\$50B–\$100B)
- Lower regionals (\$10B–\$50B)



INSURANCE

- P&C insurance companies
- Reinsurance companies
- Lloyd's syndicates



FINTECH

- Money service businesses
- Payment processors
- Wealthtech & robo-advisors
- Crypto Asset Service Providers (CASPs)
- DAO foundations & labs companies



DIVERSIFIED FINANCIAL INSTITUTIONS

- Wealth managers
- Corporate finance houses
- Financial intermediaries
- Service providers
- Trust companies
- Financial exchanges
- PE and VC

Claims

Proactive claims management by specialists is at the heart of every risk we cover. Fair handling of claims, and quick payment where agreed, is our promise. Our technically experienced in-house experts provide coverage analysis, strategic overview, and legal and data-driven insights, with continual feedback to our underwriting teams. Claims and underwriting teams work closely together to ensure we meet the needs of our clients. Mosaic's approach is one of ownership, transparency, and efficiency; achieving fair outcomes for our customers is the end goal. Mosaic has full authority on claims settlement decisions.



THOMAS DUGGAN

Head of Claims, Americas & Head of Claims, Environmental Liability

+1 718 551 8253

thomas.duggan@mosaicinsurance.com

Underwriting



SACHIN WARTY

Global Head of Financial Institutions & Professional Liability (FinPro)

+1 630 800 9045

sachin.warty@mosaicinsurance.com



MELISSA PEREIRA

VP, Underwriter, Financial Institutions

+1 441 717 8228

melissa.pereira@mosaicinsurance.com

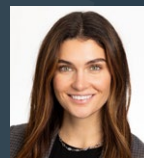


ANN NOZICA

Head of Americas, Financial Institutions

+1 608 780 9931

ann.nozica@mosaicinsurance.com



KATY HEATHERTON

AVP, Financial Institutions

+1 310 590 8444

katy.heatherton@mosaicinsurance.com



IAN FRASER

President & Chief Agent, Canada

+1 905 304 3333

ian.fraser@mosaicinsurance.com



MICHAEL ROSSITER

AVP, Financial Institutions

+1 630 408 5311

michael.rossiter@mosaicinsurance.com



RISA KLEINER

SVP, Financial Institutions

+1 917 828 3523

risa.kleiner@mosaicinsurance.com



TESSA SUTHERLAND

Fintech & Digital Assets

Underwriting Strategist

+1 (316) 617-8813

tessa.sutherland@mosaicinsurance.com

About Mosaic

Mosaic is a global specialty insurer with exceptional expertise, a focus on complex products, and an award-winning, digitized operating model. We underwrite for close to 40 trade clients alongside our own Lloyd's Syndicate 1609 and 2610—offering capacity and custom service across eight lines of business in seven countries.



Mosaic Syndicate Services Limited is an appointed representative of Asta Managing Agency Limited (company number 1918744), which is authorised by the Prudential Regulation Authority (PRA) and regulated by the Financial Conduct Authority and the PRA.