

Investment Managers

FINANCIAL INSTITUTIONS



Investment managers operate in an increasingly complex corporate environment, navigating the challenges of market volatility, elevated interest rates, and continued threats of economic recession. Mosaic's product is customized for this sector, coupling our market-leading claims and underwriting service with an offering that blends investment management insurance (IMI) with cyber coverage. Clients can choose fully blended or standalone limits available on a modular basis.

COVERAGES

Financial Institutions



- Manager and Fund-Level Directors & Officers Liability
- Manager and Fund-Level Professional Liability
- Crime

Cyber (in collaboration with our cyber team)



First-party



- Data Breach Response
- Business Interruption
- Cyber Extortion
- Digital Asset Recovery

Third-party



- Security & Privacy Liability
- Regulatory Proceedings
- Media
- Tech E&O

KEY FEATURES

Up to

\$20M per risk



- Lifetime discovery for retired directors and officers
- Automatic cover under defined thresholds for new funds and subsidiaries
- Manager and fund hold harmless cut-through for losses otherwise claimed

OPTIONAL EXTENSIONS

- Emergency expenses when prior consent not feasible
- Crisis-communication costs to avert/mitigate claims
- Mitigation/correction costs to prevent claims escalation
- Costs to restore/replace documents lost or damaged during professional services
- Asset and liberty protection costs to defend or appeal orders affecting executives
- Pre-claim costs related to inquiry/investigation
- Derivative investigation costs for derivative demands
- Witness travel and accommodations expenses for executives required to give evidence
- Additional limits for executives on exhaustion of indemnification
- Loss establishment costs to determine or verify direct financial loss

TARGET CLIENTS

- Investment managers
- Investment funds
- Alternative investment managers, eg hedge funds
- Funds of funds
- Superannuation fund managers
- Pension fund managers
- Directors and officers of investment managers and investment funds

Claims

Proactive claims management by specialists is at the heart of every risk we cover. Fair handling of claims, and quick payment where agreed, is our promise. Our technically experienced in-house experts provide coverage analysis, strategic overview, and legal and data-driven insights, with continual feedback to our underwriting teams. Claims and underwriting teams work closely together to ensure we meet the needs of our clients. Mosaic's approach is one of ownership, transparency, and efficiency; achieving fair outcomes for our customers is the end goal. Mosaic has full authority on claims settlement decisions.



NATALIE GRAHAM

Global Head of Claims
London, UK

+44 (0)7894 107 646

natalie.graham@mosaicinsurance.com

Natalie has a background in law, underwriting, and broking, informing her 360-degree understanding of claims at Mosaic. Previously, she was head of financial, professional and specialty claims for Aon's global broking center in London. Prior, she worked in private practice and for Ironshore/Pembroke Syndicate 4000.



SAVANNA BOYLES

VP, Cyber Claims, Americas
New York, NY

+1 850 398 1533

savanna.boyles@mosaicinsurance.com

A lawyer by training, Savanna brings more than a dozen years of experience in both insurance and reinsurance roles, most recently as a specialist for Westfield Specialty and Corvus in the cyber sector, handling complex claims. At Mosaic, she works closely with the Cyber Team and handles related claims from the US and Canada. She began her career at Hiscox, and later worked for RenaissanceRe.

Underwriting



SACHIN WARTY

Global Head of Financial Institutions
& Professional Liability (FinPro)

+1 630 800 9045

sachin.warty@mosaicinsurance.com



IAN FRASER

President & Chief Agent, Mosaic Canada

+1 905 304 3333

ian.fraser@mosaicinsurance.com



ANN NOZICA

Head of Americas, Financial Institutions

+1 608 780 9931

ann.nozica@mosaicinsurance.com



MELISSA PEREIRA

VP, Underwriter, Financial Institutions

+1 441 717 8228

melissa.pereira@mosaicinsurance.com



JACQUELINE HALL-SHAW

Head of International, Financial Institutions

+44 (0)7386 972 494

jacqueline.hallshaw@mosaicinsurance.com

About Mosaic

Mosaic is a global specialty insurance platform with an innovative structure allowing deployment of different forms of capital aligned for the benefit of clients and partners. We underwrite for 40 other top-rated carriers alongside our Lloyd's syndicates 1609 and 2610—offering lead-line + syndicated capacity, and claims-settlement authority across eight product lines via seven countries. We harness the heritage of Lloyd's and benefit from the market's global licenses and financial-strength ratings. Visit mosaicinsurance.com and follow us @Mosaic1609



FINANCIAL-STRENGTH RATINGS OF OUR LLOYD'S SYNDICATE MOSAIC 1609

This document is for marketing purposes only and provides a general overview of coverage features. Coverage is subject to the terms, conditions, and exclusions of the actual policy. Please review the full policy wording for complete details.