

FINANCIAL INSTITUTIONS (Canada)



Financial Institutions are facing increased levels of economic and political volatility in today's challenging global environment. Amid an evolving landscape of regulatory change and rising litigation, businesses must navigate their way through a difficult climate of uncertainty.

Mosaic's leading underwriters have decades of experience writing financial institutions coverage across a broad range of different sectors and products on a global scale. We offer clients solutions tailored to their business to ensure they are protected against risks specific to their industry, whether they are a global bank or a boutique investment manager.

Our world-class service and innovative approach ensure we provide client-focused solutions to modern risk, through either niche new products or restructuring large, complex programs.

PRODUCTS & APPROACH

WHO WE INSURE

- Investment managers
 - *Broad appetite for:*
 - Investment managers, funds
 - Hedge funds
 - Investment advisors
 - Property funds
 - AIFMD investment managers
 - Fund of funds
- Banks
- Insurance companies
 - P&C insurance companies
 - Reinsurance companies
 - Lloyd's syndicates
- Private equity
 - Private equity houses
 - Venture capital
- Diversified financial institutions
 - Wealth managers
 - Corporate finance houses
 - Financial intermediaries
 - Corporate service providers
 - Trust companies
 - Financial exchanges
 - Fintech

PRODUCTS WE OFFER

Capacity limits up to \$15M

- Bankers Blanket Bond
- Professional Liability
- Directors & Officers
- SIDE A (Difference in Conditions) DIC
- Pension Trustee Liability
- Bespoke solutions for clients

KEY FEATURES

- Market leaders offering flexible, tailored solutions
- Preference for leading primary, low excess layers
- Culture of high-level service to broker and client partners
- Strategy with effective use of capacity, with considered line sizes across portfolio



CLAIMS

Proactive claims management by specialists is at the heart of every risk we cover. Fair handling of claims, and quick payment where agreed, is our promise. Our technically experienced experts provide coverage analysis, strategic overview, and legal and data-driven

insights, with continual feedback to our underwriting teams. Mosaic's approach is one of ownership, transparency, and efficiency; achieving fair outcomes for our customers is the end goal.

TEAM

UNDERWRITING



TOM DILLEY

SVP, Head of Financial Institutions
+44 (0)7925 853 554
tom.dilley@mosaicinsurance.com



IAN FRASER

Head of Canada
+1 905 304 3333
ian.fraser@mosaicinsurance.com



REYNALDO BATAC

VP, Underwriter,
Professional Liability, Cyber,
Financial Institutions
+1 416 705 4470
reynaldo.batac@mosaicinsurance.com

CLAIMS



ELISSA DOROFF

Head of Americas, Claims
+1 212 508 9735
elissa.doroff@mosaicinsurance.com

ABOUT MOSAIC

Mosaic is a next-generation global specialty insurer harnessing visionary leadership, exceptional underwriting talent, a focus on complex product lines, and a digitized operating model. Coupling Lloyd's Syndicate 1609 with a wholly-owned syndicated capital management agency, Mosaic is uniquely positioned to offer capacity to clients in leading markets around the world.

Mosaic's underwriters bring an exceptional track record of reputation, respect, and relationships to the specialty sphere. Their expertise across regions and products sets us apart in challenging niche markets.

Digitizing underwriting and claims processes, our insurtech platform leverages AI, blockchain and

intelligent automation to generate end-to-end efficiencies, assisting our expert teams, handling claims promptly, and keeping clients and third-party partners informed every step of the way.

A+

Strong
Standard
& Poor's

A

Excellent
AM Best

AA-

Very strong
Fitch Ratings

Financial-strength ratings of our Lloyd's syndicate Mosaic 1609